

DOCKET NO.: MSFT-0259/158415.2

RECEIPT
PATENT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Narin, et al.

Serial No.: 09/894,519

Group Art Unit: 2185

Filing Date: June 28, 2001

Examiner: not assigned

For: Architecture for an Electronic Shopping Service Integratable with a Software Application

DATE OF DEPOSIT: 10-24-01

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.


TYPED NAME: Peter M Ullman
REGISTRATION NO.: 43,963

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

☒ incorrectly entered; and/or

☒ omitted.

<i>Error in</i>	<i>Correct data</i>
1. Fourth Applicant's name	1. Jeffrey H. Alger
2. Applicant's address	2.
3. Title	3.
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Domestic Priority Data	7. This application claims benefit of 60/215,488 filed 06/30/2000 and priority of 09/836,524 filed 04/17/2001.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 10-24-01



Peter M. Ullman
Registration No. 43,963

Woodcock Washburn Kurtz Mackiewicz & Norris LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,519	06/28/2001	2185	1140	MSFT- 0259/158415.2	9	35	5

27372

WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
ATTENTION: STEVEN J. ROCCI, ESQ.
ONE LIBERTY PLACE, 46TH FLOOR
PHILADELPHIA, PA 19103

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CONFIRMATION NO. 5975

FILING RECEIPT

AUG 24 2001



000000006461009

Woodcock Washburn Kurtz
Mackiewicz & Norris LLP

Date Mailed: 08/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Attila Narin, Bothell, WA;
Marco A. DeMello, Redmond, WA;
Yoram Yaacovi, Redmond, WA;
Jeffrey A. Alger, Redmond, WA;
Leroy B. Keely, Portola Valley, CA;
David Michael Silver, Sammamish, WA;
Christopher Robert Richard Madonna, Seattle, WA;
John Beezer, Seattle, WA;
Kathryn E. Hughes, Redmond, WA;

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Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,488 06/30/2000

and priority to 09/836,524 filed 04/17/2001

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Architecture for an electronic shopping service integratable with a software application


Preliminary Class

711

Data entry by : TESFAYE, ALEM

Team : OIPE

Date: 08/22/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

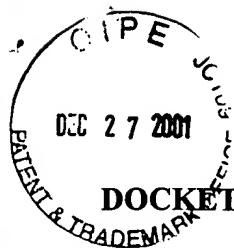
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



DOCKET NO.: MSFT-0259/158415.2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Attila Narin, *et al.*

Serial No.: 09/894,519

Group No.: 2185

Filing Date: June 28, 2001

Examiner: Not Yet Assigned

For: ARCHITECTURE FOR AN ELECTRONIC SHOPPING SERVICE
INTEGRATABLE WITH A SOFTWARE APPLICATION

DATE OF DEPOSIT: 10-24-01

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED
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CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED
ABOVE AND IS ADDRESSED TO BOX MISSING PARTS,
ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON,
DC 20231

TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND
REQUEST FOR EXTENSION OF TIME

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated
August 22, 2001, a response to which is due October 22, 2001, enclosed herewith for filing is:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ The original Combined Declaration and Power of Attorney, executed by the
inventor(s). An unexecuted copy of this document, attached to the above-identified
specification, was filed by Express Mail No. EL650275874US on June 28, 2001.
- ☒ An Associate Power of Attorney is also enclosed.

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- ☐ Basic Filing Fee of \$ is enclosed together with the \$ surcharge.
- ☒ Request for Corrected Filing Receipt.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ _____ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: _____.
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of August 22, 2001 to and through November 22, 2001, comprising an extension of the shortened period of one (1) month:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$	\$130	\$130.00
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	\$110.00
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$	\$720	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$	\$1960	\$
TOTAL FEE DUE				\$240.00

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

Total fee required \$240.00

- ☒ A check in the amount of \$240.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
 - ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
 - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
 - ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

DOCKET NO.: MSFT-0259/158415.2

- 4 -

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This sheet is attached in duplicate.

Date: 10-24-01



Peter M. Ullman
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,519	06/28/2001	Attila Narin	MSFT-0259/158415.2

CONFIRMATION NO. 5975

FORMALITIES LETTER



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WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
ATTENTION: STEVEN J. ROCCI, ESQ.
ONE LIBERTY PLACE, 46TH FLOOR
PHILADELPHIA, PA 19103

Date Mailed: 08/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Jeffrey A. Alger & John Beezer
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

A.J.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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